Carruth Compliance Consulting, Inc.
Good Faith Resolution Preference Form

To be completed by the CCC Coordinator for your District, ESD, or Community College

Seattle Public School District #1
Employer Name (the "Employer")

Any 403(b) Vendor that enters into a Hold Harmless and Information Sharing Agreement with the Employer by 12/31/2008, either indirectly via the CCC Hold Harmless and Information Sharing Agreement (HH+ISA) dated 11/22/2008 or directly via Employer-Specific Agreements in which CCC is designated as Agent for Employer, shall be eligible for full participation in Employer's 403(b) Plan.

However, due to time constraints associated with the 1/1/2009 applicability date for most requirements of the Final 403(b) Regulations, legal staffs of many Vendors willing to review CCC's HH+ISA will be unable to complete evaluations by 12/31/2008. Conversely, CCC and its Client Employers will be unable to review, complete, and submit all agreements and forms required by some Vendors that are unwilling to review and/or accept CCC's HH+ISA. Therefore, CCC has developed an alternative approach and presented it to Vendors.

I hereby certify that I have reviewed the terms of the **CCC Good Faith Compliance Resolution** ("The Resolution") and by my signature below I affirm that the Employer named above either will or will not abide by the terms of The Resolution, as indicated by my checking the appropriate option below. Also, I confirm that I am authorized to make this decision on behalf of the Employer.

X The Employer **will** abide by the terms of The Resolution and our 403(b) Plan will allow Vendors that adopt The Resolution to continue their relationships with our 403(b) Plan beyond 12/31/2008, subject to the stipulations in The Resolution.

____ The Employer **will not** abide by the terms of The Resolution. Consequently, Vendors in the Employer's 403(b) Plan that do not enter into Hold Harmless and Information Sharing Agreements with the Employer by 12/31/2008, either indirectly via CCC Umbrella Agreements or directly via Vendor-specific Agreements, will not eligible to receive any of the following after 12/31/2008:

1. Employee Elective Deferrals;
2. Employer Discretionary, Matching, or Post-Severance Contributions;
3. Exchanges Within the Plan;
4. Plan-to-Plan Transfers Into the Plan; or
5. Rollover Contributions Into the Plan.

Signature

12/10/08
Date

Elaine L Williams
Name: CCC Coordinator or other Authorized Employer Officer

Human Resources Manager
Title

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