

This Agreement ("Agreement") between TD Ameritrade ("Provider") and Plan Sponsor: Richland School District establishes the understanding between Provider and Plan Sponsor to share information necessary for the proper administration of the 16. 400 403(b) plan and with respect to Exchanges to share information necessary for compliance with final 403(b) regulations.

Plan Sponsor represents:

1. That it is an employer eligible to offer programs under §403(b) of the Internal Revenue Code of 1986, as amended (the "Code").
2. That it has established and maintains a program for eligible employees intended to qualify as a tax-sheltered annuity under §403(b) of the Code ("403(b) Plan").
3. As part of the 403(b) Plan, Plan Sponsor **allows** employees to Exchange their current 403(b) accounts/contracts for 403(b) accounts/contracts offered by Provider. The Plan Sponsor, on or before January 1, 2009, shall adopt a written 403(b) plan document which shall authorize Exchanges executed under this Agreement and shall provide a means of obtaining a copy of the document and any updates, amendments or other changes to Provider.

Provider represents:

4. That it will offer 403(b) annuity contracts/custodial accounts that conform to applicable laws and regulations.
5. If Exchanges are allowed, that an employee's accumulated benefit under the Provider's 403(b) contract/account after an Exchange shall be at least equal to the accumulated benefit of the employee's contract/account immediately prior to the Exchange. That the distribution restrictions applicable to the Provider's contract/account are at least as restrictive as the distribution requirements applicable to the 403(b) contract/account prior to the Exchange. It shall be responsible for verifying with the Employer or its designated agent for Plan administration prior to any contract or custodial account Exchange for a Plan Participant or beneficiary that the vendor receiving such Exchange is approved to receive contributions under the plan or has an information-sharing agreement with the Employer. It may rely on information received from the Employer or its designated agent for Plan administration.
6. That it will be responsible for obtaining the consent of the Employer or its designated agent for Plan administration before it makes any distribution or loan to a Plan Participant or beneficiary, makes any plan-to-plan transfers to another 403(b) plan for a Plan Participant or beneficiary, accepts any rollover contributions for a Plan Participant or beneficiary, or accepts any plan-to-plan transfers for a Plan Participant or beneficiary. It may rely on information received from the Employer or its designated agent for Plan administration.
7. It shall be responsible for correctly reporting and withholding taxes on distributions it makes for Plan Participants or beneficiaries.
8. That it will, in the event of a tax audit of Plan Sponsor, cooperate in providing necessary information relating to the 403(b) Plan as requested.

Plan Sponsor and Provider Agree:

9. That each, or their authorized representatives, shall exchange information necessary for compliance with the requirements of §403(b)-related Code sections and other applicable laws and regulations, including, but not limited to, information on employment status, contributions and transactions made to or from other 403(b) contracts/accounts under the 403(b) Plan, information on other exchanges, loans and hardship withdrawals, (as permitted under the 403(b) Plan) and any other information necessary to facilitate activities permitted under the terms of the 403(b) Plan or tax compliance and reporting.
10. For purposes of this Agreement, "Exchange" means the tax-free exchange of all or some portion of an employee's 403(b) contract/account from an investment provider to a 403(b) contract/account with the Provider for the purpose of changing investments.
11. That the dates set forth in this Agreement, except for the execution date, are automatically extended to conform to any later-available compliance dates that may be provided under applicable guidance issued after this Agreement is executed.



EMPLOYER/THIRD-PARTY ADMINISTRATOR (TPA) CONTACT INFORMATION

Employer/TPA Name: RICHLAND SCHOOL DISTRICT No. 400 / Carruth Compliance Consulting

Contact Name: Heather Mondor (CCC)

Address: 615 Snow Avenue

City: Richland State: WA ZIP Code: 99352

Phone Number: 503-968-8961 Email Address: cccinfo@ncompliance.com

PROVIDER

Printed Name: Judith K. Ricketts Title: Director

Signature: Judith K. Ricketts Date: _____

PLAN SPONSOR

Printed Name: Rich Puryear Title: CFO

Signature: Rich Puryear Date: 02 05 2016

Printed Name: _____ Title: _____

Signature: _____ Date: _____

Printed Name: _____ Title: _____

Signature: _____ Date: _____

AUTHORIZED AGENT

Plan Sponsor authorizes the following as its designated agent for Plan administration:

Printed Name: J.H. Carruth Signature: JH Carruth

Carruth Compliance Consulting
 11515 SW Durham RD Suite E10
 Tigard, OR 97224
 P) 503-968-8961 F) 503-968-7802
 CCC is a Third Party Administrator for:

Richland School District No. 400
 legal Name: School District No. 400,
Benton County, Washington

Please see attached POA and list of authorized signers for CCC, as well as Addendum to 403(b) Information Sharing Agreement

Investment Products. Not FDIC Insured * No Bank Guarantee * May Lose Value

Addendum to 403(b) Information Sharing Agreement

The Employer Richland School District No. 400 ("Employer").
EIN# 91-6001629 has entered into a contractual agreement with Carruth Compliance Consulting (CCC) to provide Third Party Administrator (TPA) Services to the Employer's 403(b) Plan. The Employer has authorized TD Ameritrade to share information with CCC pursuant to the TD Ameritrade 403(b) Service Provide Vendor Agreement (AKA Information Sharing Agreement) and act on behalf the Employer's 403(b) Plan. Please notate the following TPA information in the Employer's Plan Information:

Carruth Compliance Consulting
11515 SW Durham Rd.
Suite E10
Tigard, OR 97224
Phone: (503) 968-8961
Toll Free: (877) 222-3090
Fax: (503) 968-7802
Website: ncompliance.com

Please retain the attached list of CCC individuals authorized to sign on behalf of the Employer.

Rich PURYEAR
Name of Authorized Representative of Employer

*

Rich Puryear
Signature of Authorized Representative of Employer

02-05-16
Date